

07/05/00

1c839 U.S. PTO

Please type a plus sign inside this box -->

PTO/SB/05 (4/95)  
Approved for use through 09/30/00 OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

1c854 U.S. PTO  
09/610229

07/05/00

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.	37261.p058	Total Pages (all documents)	
First Named Inventor or Application Identifier R. Moody			
A WINDOW OPERATOR			
Express Mail Label No.	EM560648065US		

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- |   |  |
|---|--|
| <p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17)<br/>(Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification Total Pages <b>26</b><br/>(preferred arrangement set forth below)</p> <ul style="list-style-type: none"><li>- Descriptive Title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R&amp;D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claims</li><li>- Abstract of the Disclosure</li></ul> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets <b>12</b></p> <p>4. <input checked="" type="checkbox"/> Oath of Declaration Total Pages <b>4</b></p> <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (original copy)</li><li>b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d))<br/>(for continuation/divisional with Box 17 completed)</li><li>i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br/>Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).</li></ul> | <p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>6. <input type="checkbox"/> Nucleotide &amp;/or Amino Acid Sequence Submission<br/>(if applicable, all necessary)</p> <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul> |
|---|--|

## ACCOMPANYING APPLICATION PARTS

- |   |
|---|
| <p>7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>8. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br/>(when there is an assignee)</p> <p>9. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>10. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS<br/>Statement (IDS)/PTO-1449 Citations</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)</p> <p>13. <input checked="" type="checkbox"/> *Small Entity <input type="checkbox"/> Statement filed in prior app<br/>Statement(s) Status still proper and desired</p> <p>14. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)<br/>(if foreign priority is claimed)</p> <p>15. <input checked="" type="checkbox"/> Other: REQUEST FOR PRIORITY</p> |
|---|

\* Note for Items 1 & 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28)

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below & in a preliminary amendment:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP) of prior application no: _____
---------------------------------------	-------------------------------------	--

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For Continuation or Divisional Apps only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

NAME:	Blakely, Sokoloff, Taylor & Zafman LLP		
ADDRESS:	12400 Wilshire Boulevard, 7th Floor		
CITY:	Los Angeles	STATE:	California
COUNTRY:	USA	ZIP:	90025-1026
		TELEPHONE:	(310)207-3800
		FAX:	(310)820-5988

Name (Print/Type)	Eric S. Hyman	REG. NO.	30,139
Signature		Date	7/5/2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR

07-07-00

A

07/05/00

PTO/SB/17 (12/97)

Approved for use through 09/30/00 OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>FEE TRANSMITTAL</b>  Note: Effective October 1, 1997 Patent fees are subject to annual revision		<b>Complete If Known</b>		
		Application Number		
		Filing Date		
		First Named Inventor	Moody	
		Group Art Unit		
TOTAL AMOUNT OF PAYMENT		\$345.00 and \$40.00	Attorney Docket No.	37261.P058

PTO  
09/10/2000  
07/05/00

<b>METHOD OF PAYMENT (check one)</b>		<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																											
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees &amp; credit any overpayments to:</p> <p>Acct # 02-2666 Acct Name Blakely Sokoloff Taylor &amp; Zafman</p> <p><input checked="" type="checkbox"/> Charge any add'l fee required under 37 CFR 1.16 &amp; 1.17 <input type="checkbox"/> Charge issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance</p>		<b>Additional Fees</b>																																																																																																																																																																																											
<p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>																																																																																																																																																																																													
<b>Fee Calculation</b>																																																																																																																																																																																													
<p>1. <b>Filing Fee</b></p> <table border="1"><thead><tr><th>Large Entity Code</th><th>Large Entity Fee (\$)</th><th>Small Entity Code</th><th>Small Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101</td><td>690</td><td>201</td><td>345</td><td>Utility filing fee</td><td>345.00</td></tr><tr><td>106</td><td>310</td><td>206</td><td>155</td><td>Design filing fee</td><td></td></tr><tr><td>107</td><td>480</td><td>207</td><td>240</td><td>Plant filing fee</td><td></td></tr><tr><td>108</td><td>690</td><td>208</td><td>345</td><td>Reissue filing fee</td><td></td></tr><tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="5"><b>Subtotal (1)</b></td><td><b>\$345.00</b></td></tr></tbody></table>		Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid	101	690	201	345	Utility filing fee	345.00	106	310	206	155	Design filing fee		107	480	207	240	Plant filing fee		108	690	208	345	Reissue filing fee		114	150	214	75	Provisional filing fee		<b>Subtotal (1)</b>					<b>\$345.00</b>																																																																																																																																																		
Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																								
101	690	201	345	Utility filing fee	345.00																																																																																																																																																																																								
106	310	206	155	Design filing fee																																																																																																																																																																																									
107	480	207	240	Plant filing fee																																																																																																																																																																																									
108	690	208	345	Reissue filing fee																																																																																																																																																																																									
114	150	214	75	Provisional filing fee																																																																																																																																																																																									
<b>Subtotal (1)</b>					<b>\$345.00</b>																																																																																																																																																																																								
<p>2. <b>Claims</b></p> <table border="1"><thead><tr><th></th><th></th><th>* Extra</th><th>Fee from Below</th><th>Fee Paid</th></tr></thead><tbody><tr><td>Total Claims</td><td>17</td><td>20 - 0</td><td>X</td><td></td></tr><tr><td>Ind. Claims</td><td>2</td><td>3 - 0</td><td>X</td><td></td></tr><tr><td colspan="4">Multiple Dependent Claims</td><td></td></tr></tbody></table> <table border="1"><thead><tr><th>Large Entity Code</th><th>Large Entity Fee (\$)</th><th>Small Entity Code</th><th>Small Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>102</td><td>78</td><td>202</td><td>39</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>104</td><td>260</td><td>204</td><td>130</td><td>Multiple dependent claim</td><td></td></tr><tr><td>109</td><td>78</td><td>209</td><td>39</td><td>Reissue independent claims over original patent</td><td></td></tr><tr><td>110</td><td>18</td><td>210</td><td>9</td><td>Reissue claims in excess of 20 &amp; over original patent</td><td></td></tr><tr><td colspan="5"><b>Subtotal (2)</b></td><td><b>(\$)</b></td></tr></tbody></table>				* Extra	Fee from Below	Fee Paid	Total Claims	17	20 - 0	X		Ind. Claims	2	3 - 0	X		Multiple Dependent Claims					Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid	103	18	203	9	Claims in excess of 20		102	78	202	39	Independent claims in excess of 3		104	260	204	130	Multiple dependent claim		109	78	209	39	Reissue independent claims over original patent		110	18	210	9	Reissue claims in excess of 20 & over original patent		<b>Subtotal (2)</b>					<b>(\$)</b>																																																																																																																														
		* Extra	Fee from Below	Fee Paid																																																																																																																																																																																									
Total Claims	17	20 - 0	X																																																																																																																																																																																										
Ind. Claims	2	3 - 0	X																																																																																																																																																																																										
Multiple Dependent Claims																																																																																																																																																																																													
Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																								
103	18	203	9	Claims in excess of 20																																																																																																																																																																																									
102	78	202	39	Independent claims in excess of 3																																																																																																																																																																																									
104	260	204	130	Multiple dependent claim																																																																																																																																																																																									
109	78	209	39	Reissue independent claims over original patent																																																																																																																																																																																									
110	18	210	9	Reissue claims in excess of 20 & over original patent																																																																																																																																																																																									
<b>Subtotal (2)</b>					<b>(\$)</b>																																																																																																																																																																																								
		<table border="1"><thead><tr><th>Large Entity Code</th><th>Large Entity Fee (\$)</th><th>Small Entity Code</th><th>Small Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge-late filing fee or oath</td><td></td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>147</td><td>2520</td><td>147</td><td>2520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1840*</td><td>113</td><td>1840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within 1st month</td><td></td></tr><tr><td>116</td><td>380</td><td>216</td><td>190</td><td>Extension for reply within 2nd month</td><td></td></tr><tr><td>117</td><td>870</td><td>217</td><td>435</td><td>Extension for reply within 3rd month</td><td></td></tr><tr><td>118</td><td>1360</td><td>218</td><td>680</td><td>Extension for reply within 4th month</td><td></td></tr><tr><td>128</td><td>1850</td><td>228</td><td>925</td><td>Extension for reply within 5th month</td><td></td></tr><tr><td>119</td><td>300</td><td>219</td><td>150</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>300</td><td>220</td><td>150</td><td>Filing a brief in support of appeal</td><td></td></tr><tr><td>121</td><td>260</td><td>221</td><td>130</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1510</td><td>138</td><td>1510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to Revive-unavoidable</td><td></td></tr><tr><td>141</td><td>1210</td><td>241</td><td>605</td><td>Petition to Revive-unintentional</td><td></td></tr><tr><td>142</td><td>1210</td><td>242</td><td>605</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>430</td><td>243</td><td>215</td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>580</td><td>244</td><td>290</td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of IDS</td><td></td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property</td><td>40.00</td></tr><tr><td>146</td><td>760</td><td>246</td><td>380</td><td>Filing a submission after final rejection</td><td></td></tr><tr><td>149</td><td>760</td><td>249</td><td>380</td><td>For each add'l invention to be examined</td><td></td></tr><tr><td colspan="5">Other fee (specify)</td><td></td></tr><tr><td colspan="5">Other fee (specify)</td><td></td></tr><tr><td colspan="5"><b>*Reduced by Basic Filing Fee Paid</b></td><td></td></tr><tr><td colspan="5"><b>Subtotal (3)</b></td><td><b>(\$40.00)</b></td></tr></tbody></table>		Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge-late filing fee or oath		127	50	227	25	Surcharge-late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2520	147	2520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1840*	113	1840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within 1st month		116	380	216	190	Extension for reply within 2nd month		117	870	217	435	Extension for reply within 3rd month		118	1360	218	680	Extension for reply within 4th month		128	1850	228	925	Extension for reply within 5th month		119	300	219	150	Notice of Appeal		120	300	220	150	Filing a brief in support of appeal		121	260	221	130	Request for oral hearing		138	1510	138	1510	Petition to institute a public use proceeding		140	110	240	55	Petition to Revive-unavoidable		141	1210	241	605	Petition to Revive-unintentional		142	1210	242	605	Utility issue fee (or reissue)		143	430	243	215	Design issue fee		144	580	244	290	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	240	126	240	Submission of IDS		581	40	581	40	Recording each patent assignment per property	40.00	146	760	246	380	Filing a submission after final rejection		149	760	249	380	For each add'l invention to be examined		Other fee (specify)						Other fee (specify)						<b>*Reduced by Basic Filing Fee Paid</b>						<b>Subtotal (3)</b>					<b>(\$40.00)</b>
Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																								
105	130	205	65	Surcharge-late filing fee or oath																																																																																																																																																																																									
127	50	227	25	Surcharge-late provisional filing fee or cover sheet																																																																																																																																																																																									
139	130	139	130	Non-English specification																																																																																																																																																																																									
147	2520	147	2520	For filing a request for reexamination																																																																																																																																																																																									
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																									
113	1840*	113	1840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																									
115	110	215	55	Extension for reply within 1st month																																																																																																																																																																																									
116	380	216	190	Extension for reply within 2nd month																																																																																																																																																																																									
117	870	217	435	Extension for reply within 3rd month																																																																																																																																																																																									
118	1360	218	680	Extension for reply within 4th month																																																																																																																																																																																									
128	1850	228	925	Extension for reply within 5th month																																																																																																																																																																																									
119	300	219	150	Notice of Appeal																																																																																																																																																																																									
120	300	220	150	Filing a brief in support of appeal																																																																																																																																																																																									
121	260	221	130	Request for oral hearing																																																																																																																																																																																									
138	1510	138	1510	Petition to institute a public use proceeding																																																																																																																																																																																									
140	110	240	55	Petition to Revive-unavoidable																																																																																																																																																																																									
141	1210	241	605	Petition to Revive-unintentional																																																																																																																																																																																									
142	1210	242	605	Utility issue fee (or reissue)																																																																																																																																																																																									
143	430	243	215	Design issue fee																																																																																																																																																																																									
144	580	244	290	Plant issue fee																																																																																																																																																																																									
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																									
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																									
126	240	126	240	Submission of IDS																																																																																																																																																																																									
581	40	581	40	Recording each patent assignment per property	40.00																																																																																																																																																																																								
146	760	246	380	Filing a submission after final rejection																																																																																																																																																																																									
149	760	249	380	For each add'l invention to be examined																																																																																																																																																																																									
Other fee (specify)																																																																																																																																																																																													
Other fee (specify)																																																																																																																																																																																													
<b>*Reduced by Basic Filing Fee Paid</b>																																																																																																																																																																																													
<b>Subtotal (3)</b>					<b>(\$40.00)</b>																																																																																																																																																																																								

SUBMITTED BY		COMPLETE (if applicable)	
Name	ERIC S. HYMAN	Reg. Number	30,139
Signature		Deposit Acct User ID	

\*Highest number of claims previously paid for if an amendment is being transmitted.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.